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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicants | : | Goldstein, et al. |) Group Art Unit UNKNOWN |
|------------|---|---|--------------------------|
| App. No. | : | 10/038,098 |) |
| Filed | : | October 19, 2001 |) |
| For | : | TRANSACTION BREAKDOWN FEATURE TO FACILITATE ANALYSIS OF END USER PERFORMANCE OF A |)))) |
| | | SERVER SYSTEM |) |
| Examiner | : | UNKNOWN |))) |

<u>INFORMATION DISCLOSURE STATEMENT</u>

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing sixteen (16) references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: $\left(-28-02\right)$

Ronald J. Schoenbaum

Registration No. 38,297

Attorney of Record

620 Newport Center Drive, Sixteenth Floor

Newport Beach, CA 92660

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Case Docket No. MERCURY.140A1

I hereby certify that this correspondence and all

marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner

for Patents, Washington, D.C. 20231, on

Date: January 28, 2002

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Goldstein, et al.

Appl. No.

10/038,098

Filed

October 19, 2001

For

TRANSACTION

BREAKDOWN FEATURE

TO FACILITATE

ANALYSIS OF END USER PERFORMANCE OF A SERVER SYSTEM

Examiner

UNKNOWN

Group Art Unit:

UNKNOWN

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are the following documents:

- (X) An Information Disclosure Statement;
- (X) A PTO Form 1449 listing sixteen (16) references, copies of which are enclosed; and
- (X) A return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Ronald J. Schoenbaum

Registration No. 38297

Attorney of Record

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. MERCURY.140A1

COPY OF PAPERS 10/038,098 ORIGINALLY FILED

INFORMATION DISCLOSURE STATEMENT **BY APPLICANT**

VERAL SHEETS IF NECESSARY)

APPLICANTS Goldstein, et al.

FILING DATE October 19, 2001 **GROUP ART UNIT** UNKNOWN

| U.S. PATENT DOCUMENTS | | | | | | |
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| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
| | 5,446,874 | 08/29/95 | Waclawsky, et al. | | | <u> </u> |
| | 5,544,310 | 08/06/96 | Forman, et al. | | | |
| | 5,742,754 | 04/21/98 | Tse | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|-----------------|------|---------|-------|----------|-------------|----|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | YES | NO |
| | | | | | | | |
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| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) | | | | |
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| | V. Mainkar, "Availability Analysis of Transaction Processing Systems based on User-Perceived Performance," The 16 th Symposium on Reliable Distributed Systems, IEEE Computer Society, 1997, pp. 10-17. | | | | |
| | N. Randall, PC Magazine, "Web Site Analysis Tools The Results Are In," dated March 10, 1998, pages 189 – 210. | | | | |
| | Article by Patrick Killelea, dated January 15, 1999, titled "Web Performance Measuring Tools and Services," printed from Webreview.com site. | | | | |
| | LoadRunner Controller User's Guide – Windows Version 6.0, pages 1-49, 278-344, and 558, (dated 1999). | | | | |
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EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.